



# *Databases and Internet Applications*

## Part 1 Chapter 7.1-7.5





# *Lecture Overview*

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- ❖ Internet Concepts
- ❖ Web data formats
  - HTML, XML, DTDs
- ❖ Introduction to three-tier architectures
- ❖ The presentation layer
  - HTML forms; HTTP Get and POST, URL encoding; Javascript; Stylesheets. XSLT
- ❖ The middle tier
  - CGI, application servers, Servlets, JavaServerPages, passing arguments, maintaining state (cookies)



# *Uniform Resource Identifiers*

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- ❖ Uniform naming schema to identify *resources* on the Internet
- ❖ A resource can be anything:
  - Index.html
  - mysong.mp3
  - picture.jpg
- ❖ Example URIs:

<http://compgen.unc.edu/Courses>  
<mailto:webmaster@bookstore.com>  
<ftp://ftp.sanger.ac.uk/pub/>



# Structure of URIs

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<http://www.cs.unc.edu/Courses/comp521-f10/>

❖ URI has three parts:

- Name of the protocol used to access the resource ([http](http://))
- Name of the host computer ([www.cs.unc.edu](http://www.cs.unc.edu/))
- Name of the resource ([Courses/comp521-f10/](http://www.cs.unc.edu/Courses/comp521-f10/))  
(in this case the default document “index.php”)

❖ URLs are a subset of URIs

- URL (*Universal Resource Locator*)
- The distinction is not important for our purposes



# *Hypertext Transfer Protocol (HTTP)*

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## ❖ **What is a communication protocol?**

- Set of standards that defines the structure of messages
- Examples: TCP, IP, **HTTP**, FTP

## ❖ **What happens if you click on**

<http://compgen.unc.edu/Courses> ?

1. Client (web browser) sends an *HTTP request* to server (compgen.unc.edu)
2. Server replies with an *HTTP response*



# *HTTP Requests*

HTTP Requests consists of several lines of ASCII text, with an empty line at the end.

HTTP  
Method

URI  
field

HTTP  
version

GET ~/index.html HTTP/1.1

User-agent: Mozilla/4.0

The **type of the client**  
(e.g., versions of Netscape  
or Internet Explorer)

Accept: text/html, image/gif, image/jpeg

The **type of files** the client is willing to  
accept (e.g., this client cannot accept  
an mpg video)



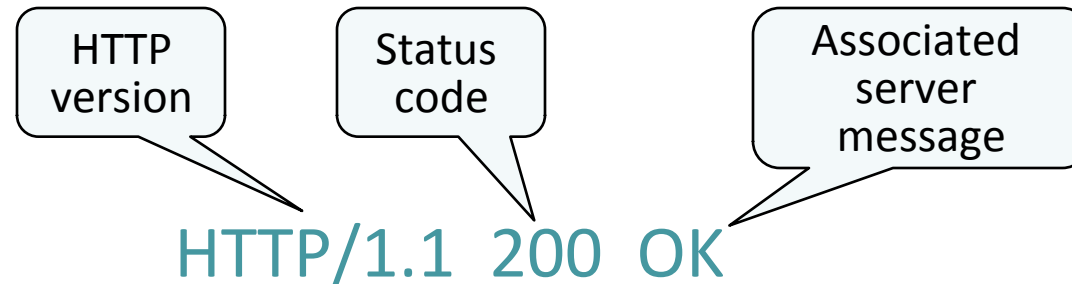
# *HTTP Responses*

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- ❖ The server retrieves the page “index.html” and uses it to assemble the HTTP response message
- ❖ The HTTP response message has three parts:
  - status line
  - several header lines
  - body of the message  
(which contains the requested object)



# *HTTP Response: Status Line*



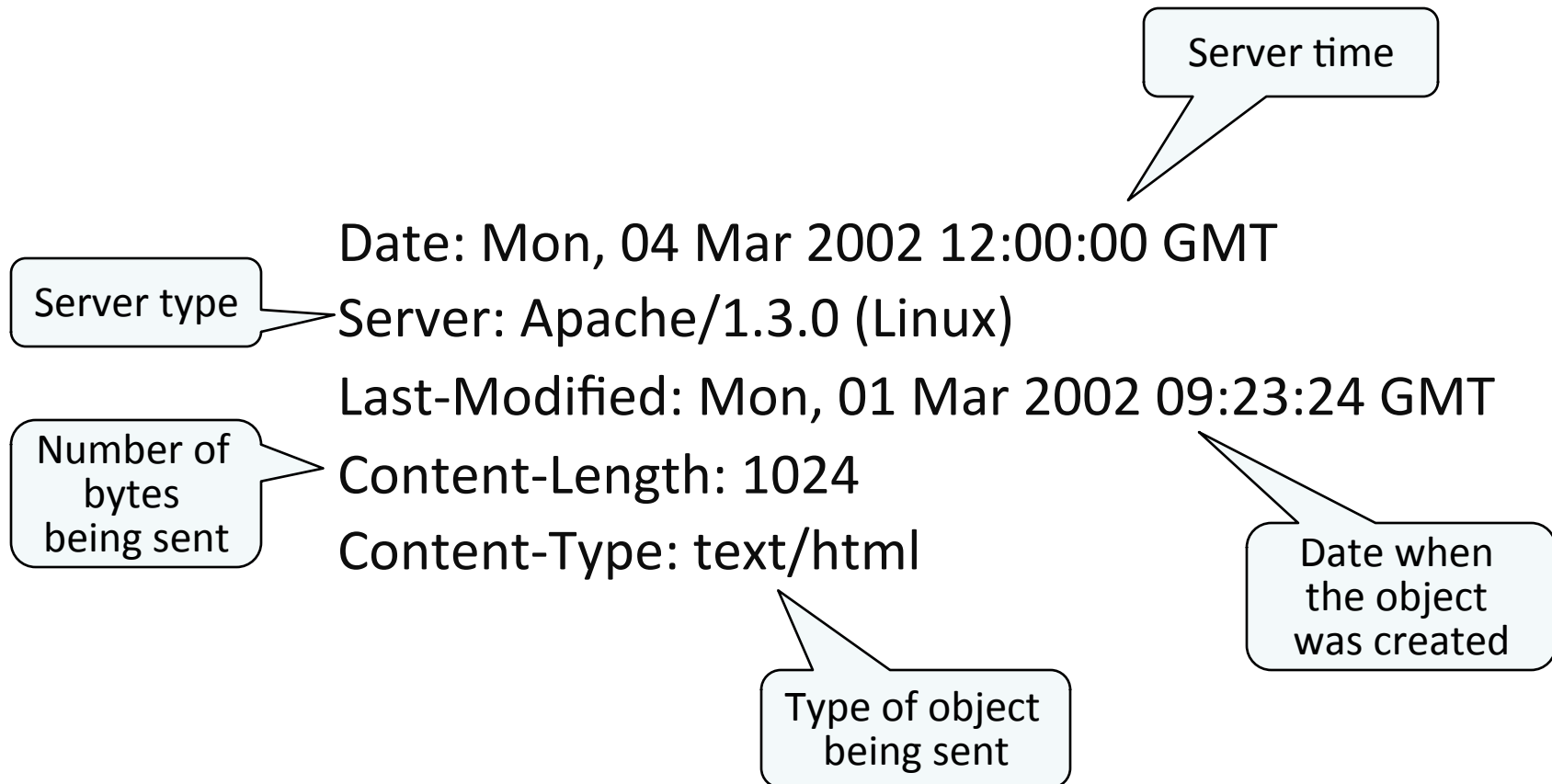
## Common status codes and associated messages:

- ❖ **200 OK**: The request succeeded and the object is in the body of the message
- ❖ **400 Bad Request**: The request could not be fulfilled
- ❖ **404 Not Found**: The requested object does not exist
- ❖ **505 HTTP Version Not Supported**: The protocol version used by the client is not supported by the server





# *HTTP Responses: Header Lines*





# *HTTP Response: Body*

---

```
<HTML> <HEAD></HEAD>
```

```
<BODY>
```

```
<h1>Barns and Nobble Internet Bookstore</h1>
```

```
Our inventory:
```

```
<h3>Science</h3>
```

```
<b>The Character of Physical Law</b>
```

```
...
```



# *HTTP is Stateless*

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- ❖ HTTP is stateless
  - No “sessions”
  - Every message is self-contained
  - No previous interaction are “remembered” by the protocol
  - Tradeoff between ease of implementation and ease of application development
  - Other functionality has to be built on top
  
- ❖ Implications for applications:
  - Any state information (shopping carts, user login-information) need to be encoded in every HTTP request and response!
  - Popular methods on how to maintain state:
    - Cookies (more on them next lecture)
    - Generate unique URL’ s dynamically at the server level



# *Web Data Formats*

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- ❖ **HTML**: HyperText Markup Language
  - The presentation language for the Internet
- ❖ **XML**: eXtensible Markup Language
  - A self-describing, hierarchal data model
- ❖ **DTD**: Document Type Declarations
  - Standardizing rules/schemas for XML
- ❖ **CSS**: Cascading Style Sheets
  - Page layout and formatting hints
- ❖ **XSL**: eXtensible Style Language
  - not covered



# *HTML: Basic Constructs*

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`<html>`

An HTML document is enclosed by these two tags

Commands in HTML consist of a start tag and an end tag

`</html>`



# *HTML: Basic Constructs*

---

`<html>`

`<head>`

...

`</head>`

The head section contains information about the page including the title, author, etc.

`</html>`



# *HTML: Basic Constructs*

---

`<html>`

`<head>`

...

`</head>`

`<body>`

The body section contains the parts of the web page the browser will display: text, images, links, etc.

`</body>`

`</html>`



# *HTML: Basic Constructs*

---

`<html>`

`<head>`

...

`</head>`

`<body>`

`<h1>Section 1</h1>`

There are six levels  
of section headers:  
h1 through h6

`</body>`

`</html>`





# HTML: Basic Constructs

```
<html>
<head>
...
</head>
<body>
<h1>Section 1</h1>
  <ul>
    <li>This is the first item</li>
  </ul>
</body>
</html>
```

- Ordered List

```
<ol>
  <li>Coffee</li>
  <li>Tea</li>
</ol>
```

- Definition List

```
<dl>
  <dt>Coffee</dt>
  <dd> ... </dd>
  <dt>Tea</dt>
  <dd> ... </dd>
</dl>
```

This is an unordered list



# *HTML: Basic Constructs*

```
<html>
```

```
<head>
```

```
...
```

```
</head>
```

```
<body>
```

```
<h1>Section 1</h1>
```

```
<ul>
```

```
<li> This is the first item </li>
```

```
</ul>
```

```
</body>
```

```
</html>
```

Display "first"  
in boldface



# HTML: An Example

```
<html>
  <head></head>
  <body>
    <h1>Barns and Nobble Internet Bookstore</h1>

    <h3>Science</h3>

    <b>The Character of Physical Law</b>
      <ul>
        <li>Author: Richard Feynman</li>
        <li>Published 1980</li>
        <li>Hardcover</li>
      </ul>
```

```
<h3>Fiction</h3>

<b>Oliver Twist</b>
  <ul>
    <li>Author: Charles Dickens</li>
    <li>Published 2002</li>
  </ul>

<b>Pride and Prejudice</b>
  <ul>
    <li>Author: Jane Austen</li>
    <li>Published 1983</li>
    <li>Paperback</li>
  </ul>
</body>
</html>
```



# *HTML: Summary*

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- ❖ HTML is a markup language for describing content
- ❖ Commands are tag enclosures:
  - Start tag and end tag
  - Examples:
    - `<HTML> ... </HTML>`
    - `<UL> ... </UL>`
- ❖ Many editors automatically generate HTML directly from your document (e.g., Microsoft Word has an “Save as Web Page” facility)



# *HTML vs XML*

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## ❖ HTML

- Supports a fixed set of predefined tags
- Not enough tags to describe the structures of the content of specific applications (e.g., what part of the content are names?, etc.)

## ❖ XML

- Allows users to define new tags to structure any type of data or document
- It makes database systems more tightly integrated into Web applications



# *XML – The Extensible Markup Language*

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- ❖ Language
  - A way of communicating information
- ❖ Markup
  - Notes or meta-data that describe your data or language
- ❖ Extensible
  - Limitless ability to define new languages or data sets



# XML Elements

- ❖ Elements are also called tags
- ❖ Elements are primary building blocks of an XML document
- ❖ Each element of a user-defined type ELM is enclosed by `<ELM>` and `</ELM>`

Example: `<FIRSTNAME>Jessica</FIRSTNAME>`

- ❖ Elements can be nested (forming a tree structure)

Example: `<BOOK>`

`<AUTHOR>`

`<FIRSTNAME>Charles</FIRSTNAME>`

`<LASTNAME>Dickens</LASTNAME>`

`<AUTHOR>`

`</BOOK>`

- ❖ EXML elements are case sensitive: `BOOK`  $\neq$  `Book`



## *XML Elements /w Attributes*

- ❖ An Element can have descriptive attributes
- ❖ The values of attributes are set inside the start tag of the element
- ❖ All attribute values must be enclosed in quotes

Example: `<BOOK GENRE="Fiction" FORMAT="Hardcover">  
    <AUTHOR>  
        <FIRSTNAME>Charles</FIRSTNAME>  
        <LASTNAME>Dickens</LASTNAME>  
    </AUTHOR>  
</BOOK>`





# XML – Structure

- ❖ XML looks like HTML
- ❖ XML is a hierarchy of user-defined tags called elements with attributes and data
- ❖ Data are described by elements, elements are described by attributes

`<BOOK genre="Science" format="Hardcover">...</BOOK>`

↑                    ↑                    ↑                    ↑                    ↑

open tag            attribute            attribute value            data            closing tag

element name



# XML Entity References

- ❖ XML data can't contain the reserved characters
- ❖ Whenever an *entity reference* appears in the document, it is textually replaced by its content
- ❖ Format: `&lt;` “lt” is an entity reference for the character “<”

Reserved Characters	Entity References
<	lt
>	gt
&	amp
“	quot
‘	apos

`&apos;1&lt;5&apos;`



`'1 < 5'`



# *XML: Comments*

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- ❖ Comments start with `<!--` and end with `-->`
- ❖ Comments can contain arbitrary text except the string `--`
- ❖ Example: `<!-- comment -->`



# XML: An Example

```
<?xml version="1.0" encoding="UTF-8" standalone="yes"?>
<BOOKLIST>
  <BOOK genre="Science" format="Hardcover">
    <AUTHOR>
      <FIRSTNAME>Richard</FIRSTNAME><LASTNAME>Feynman</
      LASTNAME>
    </AUTHOR>
    <TITLE>The Character of Physical Law</TITLE>
    <PUBLISHED>1980</PUBLISHED>
  </BOOK>
  <BOOK genre="Fiction">
    <AUTHOR>
      <FIRSTNAME>Charles</FIRSTNAME><LASTNAME>Dickens</
      LASTNAME>
    </AUTHOR>
    <TITLE>Oliver Twist</TITLE>
    <PUBLISHED>2002</PUBLISHED>
  </BOOK>
  <BOOK genre="Fiction">
    <AUTHOR>
      <FIRSTNAME>Jane</FIRSTNAME><LASTNAME>Austen</
      LASTNAME>
    </AUTHOR>
    <TITLE>Pride and Prejudice</TITLE>
    <PUBLISHED>1983</PUBLISHED>
  </BOOK>
</BOOKLIST>
```

Should begin with an XML declaration

A root element contains all other elements

All elements must be properly nested



# *XML – What's The Point?*

- ❖ You can include your data and a description of what the data represents
  - This is useful for defining your own language or protocol
- ❖ Example: Chemical Markup Language

```
<molecule>  
  <name>Methionine</name>  
  <formula>C<sub>5</sub>H<sub>11</sub>  
  </sub>NO<sub>2</sub>S</formula>  
  <weight>149.2</weight>  
  <spectra>...</spectra>  
  <figures>...</figures>  
</molecule>
```

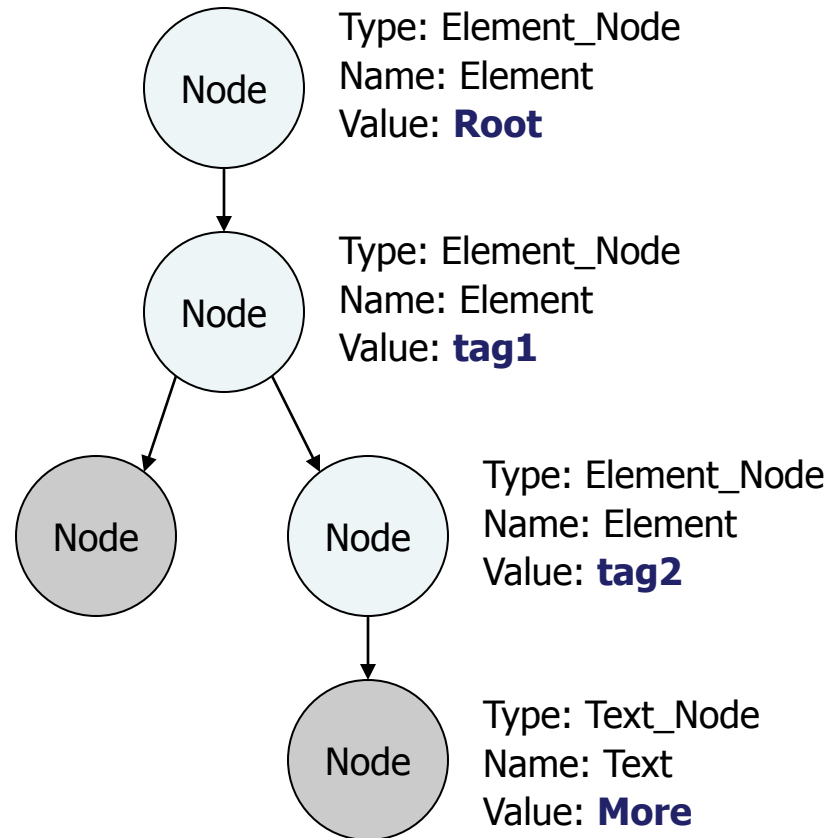


# XML – Storage

Storage is just an n-ary tree

```
<root>  
  <tag1>  
    Some Text  
    <tag2>More</tag2>  
  </tag1>  
</root>
```

Type: Text\_Node  
Name: Text  
Value: **Some Text**





# *DTD – Document Type Definition*

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- ❖ Unlike HTML, XML has **user-defined elements** (tags)
  - the user needs to describe these elements
- ❖ DTD is a **set of rules** that defines the user-defined elements for an XML document
  - DTD is the schema for the XML data
  - DTD says what elements and attributes are required or optional (the formal structure of the language)
- ❖ A document is **valid** if it is structured according to the rules set by the DTD



# DTD Structure



<!DOCTYPE BOOKLIST [

A DTD is enclosed in:  
<!DOCTYPE name [DTDdeclaration]>





# DTD Structure



```
<!DOCTYPE BOOKLIST [
```

```
<!ELEMENT BOOKLIST (BOOK)*>
```

- A DTD starts with the root element
- The root element BOOKLIST consists of zero or more BOOK elements
  - \* : zero or more occurrences
  - + : one or more occurrences
  - ? : zero or one occurrence

```
]>
```



# DTD Structure



```
<!DOCTYPE BOOKLIST [
```

```
<!ELEMENT BOOKLIST (BOOK)*>
```

```
<!ELEMENT BOOK (AUTHOR,TITLE,PUBLISHED?)>
```

- An element can have nested elements
- This rule says that a BOOK element contains an AUTHOR element, a TITLE element, and an optional PUBLISHED element

```
]>
```



# DTD Structure



```
<!DOCTYPE BOOKLIST [  
  <!ELEMENT BOOKLIST (BOOK)*>  
    <!ELEMENT BOOK (AUTHOR,TITLE,PUBLISHED?)>  
      <!ELEMENT AUTHOR (FIRSTNAME,LASTNAME)>  
        <!ELEMENT FIRSTNAME (#PCDATA)>  
        <!ELEMENT LASTNAME (#PCDATA)>  
      ]>  
    ]>
```

- Instead of containing other elements, an element can contain actual text
  - #PCDATA indicates character data
  - EMPTY indicates the element has no content
  - ANY indicates that any content is permitted. No checking inside this structure (avoided whenever possible)



# *DTD Structure*



```
<!DOCTYPE BOOKLIST [  
  <!ELEMENT BOOKLIST (BOOK)*>  
    <!ELEMENT BOOK (AUTHOR,TITLE,PUBLISHED?)>  
      <!ELEMENT AUTHOR (FIRSTNAME,LASTNAME)>  
        <!ELEMENT FIRSTNAME (#PCDATA)>  
        <!ELEMENT LASTNAME (#PCDATA)>  
      <!ELEMENT TITLE (#PCDATA)>  
      <!ELEMENT PUBLISHED (#PCDATA)>  
      <!-- ATTENTION: The following two lines are boxed in the original image -->  
      <!ATTLIST BOOK GENRE (Science|Fiction) #REQUIRED>  
      <!ATTLIST BOOK FORMAT (Paperback|Hardcover) "Paperback">  
    ]>
```



# DTD Structure



```
<!DOCTYPE BOOKLIST [
<!ELEMENT BOOKLIST (BOOK)*
  <!ELEMENT BOOK (TITLE, AUTHOR, PUBLISHED)
    <!ELEMENT TITLE (#PCDATA)
    <!ELEMENT AUTHOR (#PCDATA)
    <!ELEMENT PUBLISHED (#PCDATA)
  <!ELEMENT PUBLISHED (#PCDATA)>
<!ELEMENT PUBLISHED (#PCDATA)>
```

- Attributes of elements are declared outside the element
- The BOOK element has two attributes
  - The GENRE attribute is required and can have the value 'Science' or 'Fiction'
  - The FORMAT attribute can have the value 'Paperback' or 'Hardcover', and 'Paperback' is the default value
  - #REQUIRED is the default option

```
<!ATTLIST BOOK GENRE (Science|Fiction) #REQUIRED>
<!ATTLIST BOOK FORMAT (Paperback|Hardcover) "Paperback">
```

```
]>
```



# *The whole DTD*



```
<!DOCTYPE BOOKLIST [  
  <!ELEMENT BOOKLIST (BOOK)*>  
    <!ELEMENT BOOK (AUTHOR,TITLE,PUBLISHED?)>  
      <!ELEMENT AUTHOR (FIRSTNAME,LASTNAME)>  
        <!ELEMENT FIRSTNAME (#PCDATA)>  
        <!ELEMENT LASTNAME (#PCDATA)>  
      <!ELEMENT TITLE (#PCDATA)>  
      <!ELEMENT PUBLISHED (#PCDATA)>  
    <!ATTLIST BOOK GENRE (Science|Fiction) #REQUIRED>  
    <!ATTLIST BOOK FORMAT (Paperback|Hardcover) "Paperback">  
>
```



# Five Possible Content Types



<!ELEMENT (**contentType**)>

- ❖ Other elements
- ❖ Special symbol **#PCDATA**, **EMPTY**, or **ANY**
- ❖ A regular expression constructed from the preceding four choices
  - **exp1, exp2, exp3**: An ordered list of regular expressions
  - **Exp\***: An optional expression (zero or more occurrence)
  - **Exp?**: An optional expression (zero or one occurrences)
  - **Exp+**: A mandatory expression (one or more occurrences)
  - **Exp1 | exp2**: exp1 or exp2



# DTD – An Example

```
<?xml version='1.0'?>  
<!ELEMENT Basket (Cherry+, (Apple | Orange)*) >  
  <!ELEMENT Cherry EMPTY>  
    <!ATTLIST Cherry flavor CDATA #REQUIRED>  
  <!ELEMENT Apple EMPTY>  
    <!ATTLIST Apple color CDATA #REQUIRED>  
  <!ELEMENT Orange EMPTY>  
    <!ATTLIST Orange location 'Florida' >
```

<Basket>



```
<Cherry flavor= 'good' />  
<Apple color= 'red' />  
<Apple color= 'green' />  
</Basket>
```

<Basket>



```
<Apple/>  
<Cherry flavor= 'good' />  
<Orange/>  
</Basket>
```

Apple's color  
is required.  
Cherry should  
go first.





# *DTD – Well-Formed and Valid*

```
<?xml version='1.0'?>  
<!ELEMENT Basket (Cherry+)>  
  <!ELEMENT Cherry EMPTY>  
  <!ATTLIST Cherry flavor CDATA #REQUIRED>
```

## Not Well-Formed

```
<basket>  
  <Cherry flavor=good>  
</Basket>
```

## Well-Formed but Invalid

```
<Job>  
  <Location>Home</Location>  
</Job>
```

## Well-Formed and Valid

```
<Basket>  
  <Cherry flavor= 'good' >  
</Basket>
```



# *XML and DTDs*

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- ❖ More and more standardized (domain-specific) DTDs will be developed
  - MathML (Mathematical Markup Language)
  - Chemical Markup Language
- ❖ Enable seamless data exchange among heterogeneous sources
- ❖ Sophisticated query languages for XML are available:
  - Xquery
  - XPath



# *Web Application Architectures*

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- ❖ Model encompassing most web-based apps
- ❖ Three separate types of functionality:
  - Data management (Model)
  - Application logic (Controller)
  - Presentation (View)
- ❖ The system architecture determines whether these three components reside on a single system (tier) or are distributed across several tiers



# *Single-Tier Architectures*

All functionality combined into a single tier,  
usually on a mainframe

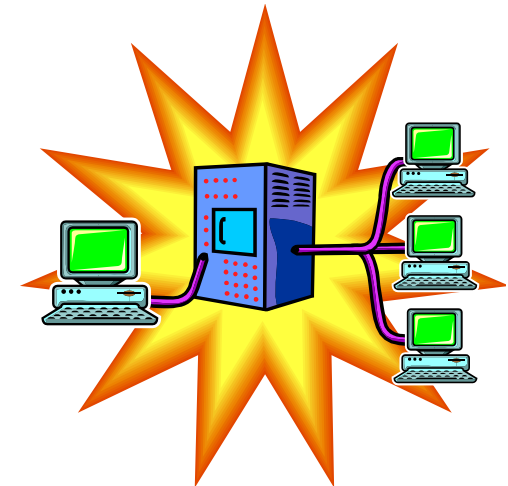
- User access through dumb terminals

Advantages:

- Easy maintenance and administration

Disadvantages:

- Today, users expect graphical user interfaces.
- Centralized computation of all of them is too much for a central system

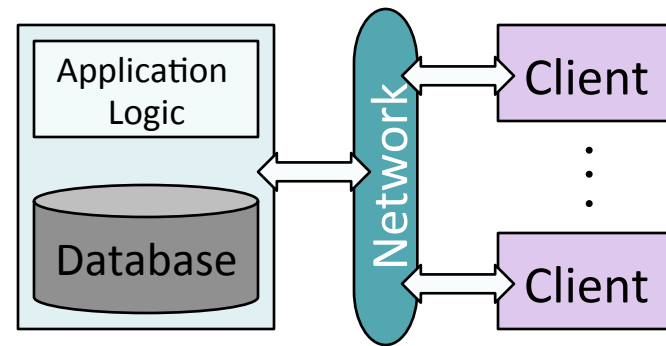




# Client-Server Architectures

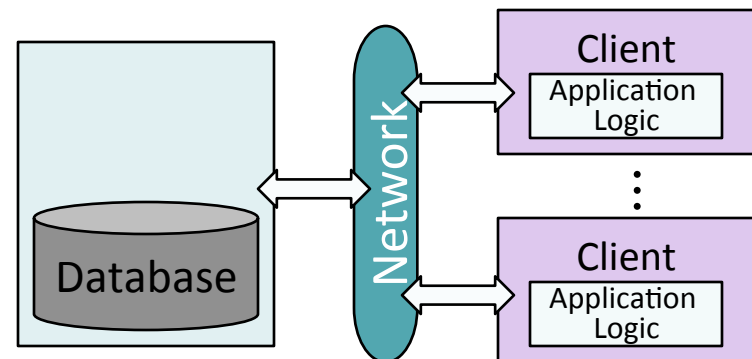
## Work division: Thin client

- **Client** implements only the graphical user interface
- **Server** implements business logic and data management



## ❖ Work division: Thick client

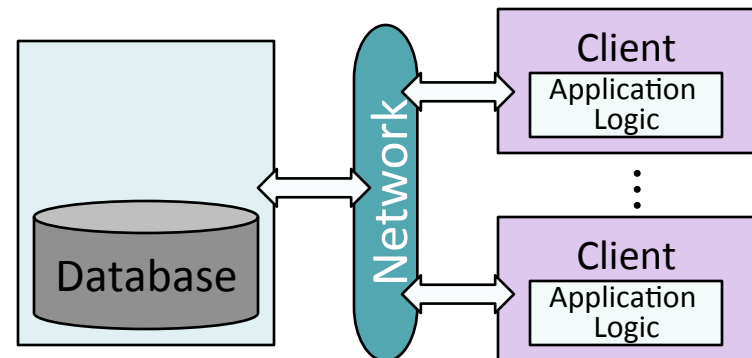
- **Client** implements both the graphical user interface and the business logic
- **Server** implements data management





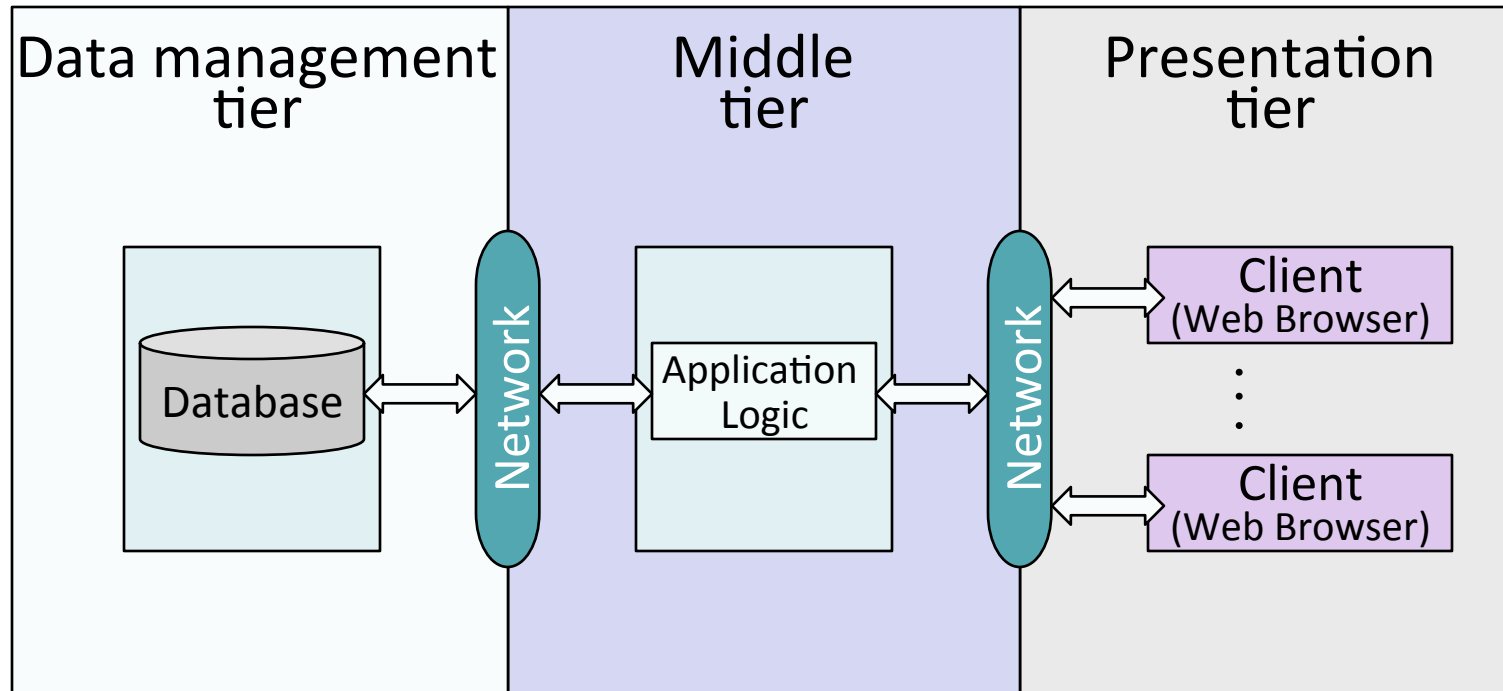
# *Disadvantages of Thick Clients*

- ❖ No central place to update the business logic
- ❖ Security issues: Server needs to trust clients
  - Clients need to leave server database in consistent state
  - One possibility: Encapsulate all database access into stored procedures
- ❖ Does not scale to more than several 100s of clients
  - Large data transfer between server and client
  - More than one server creates a problem:  $x$  clients,  $y$  servers:  $x*y$  connections





# Three-Tier Architecture





# *The Three Layers*

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## Presentation tier

- Primary interface to the user
- Needs to adapt to different display devices (PC, PDA, cell phone, voice access?)

## Middle tier

- Implements business logic (implements complex actions, maintains state between different steps of a workflow)
- Accesses different data management systems

## Data management tier

- One or more standard database management systems





# *Example 1: Airline reservations*

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## ❖ Database System

- Airline info, available seats, customer info, etc.

## ❖ Application Server

- Logic to make reservations, cancel reservations, add new airlines, etc.

## ❖ Client Program

- Log in different users, display forms and human-readable output



# *Example 2: Course Enrollment*

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## ❖ Database System

- Student info, course info, instructor info, course availability, pre-requisites, etc.

## ❖ Application Server

- Logic to add a course, drop a course, create a new course, etc.

## ❖ Client Program

- Log in different users (students, staff, faculty), display forms and human-readable output



# *Technologies*

Client Program  
*(Web Browser)*

*HTML*  
*Javascript*  
*CSS*

Application Server  
*(Tomcat, Php, Apache)*

*JSP*  
*Servlets*  
*CGI*

Database System  
*(DB2)*

*SQL*  
*XML*  
*Stored Procedures*



# *Advantages of the Three-Tier Architecture*

## ❖ Heterogeneous systems

- Tiers can be independently maintained, modified, and replaced

## ❖ Thin-”ish” clients

- Clients only need enough computation power for the presentation layer and simple application logic (e.g. form checking) (web browsers)

## ❖ Integrated data access

- Several database systems can be handled transparently at the middle tier
- Central management of connections

## ❖ Scalability

- Replication at middle tier permits scalability of business logic

## ❖ Software development

- Code for business logic is centralized
- Interaction between tiers through well-defined APIs: Can reuse standard components at each tier