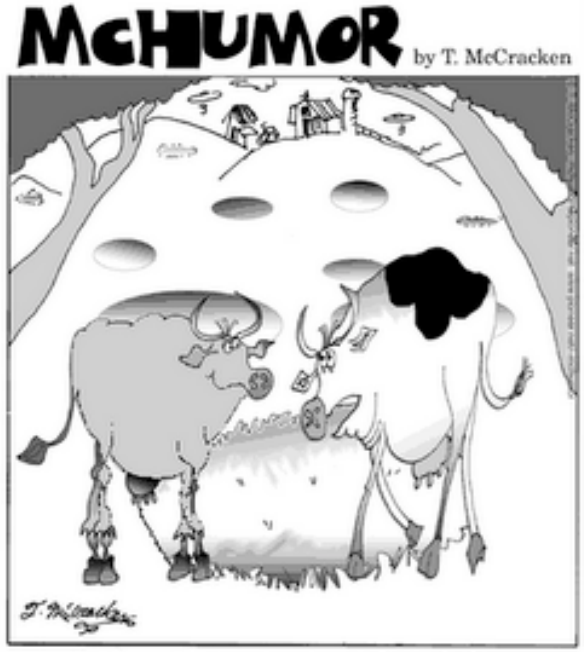
The Relational Model

Chapter 3



"Let's eat the grass in perfect circles.
It drives them crazy."

Why Study the Relational Model?

- Most widely used model by industry.
  - IBM, Informix, Microsoft, Oracle, Sybase, etc.
- It is simple, elegant, and efficient
  - Entities and relations are represented as tables
  - Tables allow for arbitrary referencing (Tables can refer to other tables)
- Recent competitor: object-oriented model
  - ObjectStore, Versant, Ontos
  - A synthesis emerging: *object-relational model*
    - Informix Universal Server, UniSQL, O2, Oracle, DB2

Relational Database: Definitions

- *Relational database*: a set of *relations*
- *Relation:* made up of 2 parts:
  - *Instance* : a *table*, with rows and columns.
    #rows = *cardinality*, #fields = *degree / arity.*
  - *Schema* : specifies name of relation, plus a name and type for each column.
    - e.g. Students(*sid*: string, *name*: string, *login*: string, *age*: integer, *gpa*: real).
- Can think of a relation as a *set* of rows or *tuples*.

Example Instance of Students Relation

sid	name	login	age	gpa
53666	Jones	jones@cs	18	3.4
53688	Smith	smith@cs	18	3.2
53650	Smith	smith@math	19	3.8

- Cardinality = 3, degree = 5
- All rows in a relation instance *have to be distinct*– each relation is defined to be a *set* of unique tuples

Relational Query Languages

- A major strength of the relational model is that it supports simple and powerful *querying* of data.
- Often *declarative* instead of *imperative*
- Queries can be written intuitively, and the DBMS is responsible for efficient evaluation.
  - Precise semantics for relational queries.
  - Allows the optimizer to extensively re-order operations, and still ensure that the answer does not change.

The SQL Query Language

- Developed by IBM (system R) in the 1970s
- Need for a standard since it is used by many vendors
- Standards:
  - SQL-86
  - SQL-89 (minor revision)
  - SQL-92 (major revision)
  - SQL-99 (major extensions, current standard)

The SQL Query Language

- To find all 18 year old students, we can write:

"S" in this expression indicates a formal variable which takes on successive values from the table.

```
SELECT  *
FROM  Students S
WHERE  S.age=18
```

sid	name	login	age	gpa
53666	Jones	jones@cs	18	3.4
53688	Smith	smith@cs	18	3.2

- To find just names and logins, replace the first line

```
SELECT  S.name, S.login
```

- When a relation is referenced only once, the use of variables is optional

Querying Multiple Relations

- What does the following query compute?

```
SELECT  S.name, E.cid
FROM  Students S, Enrolled E
WHERE  S.sid=E.sid AND E.grade="A"
```

Effectively "Joins" or connects two tables

Given the following instances of Enrolled and Students:

Students:

sid	name	login	age	gpa
53666	Jones	jones@cs	18	3.4
53688	Smith	smith@cs	18	3.2
53650	Smith	smith@math	19	3.8

Enrolled:

sid	cid	grade
53688	Carnatic101	C
53688	Reggae203	B
53650	Topology112	A
53666	History105	B

we get:

S.name	E.cid
Smith	Topology112

Creating Relations in SQL

- SQL for creating the Students relation.
- Observe that the type (domain) of each field is specified, and enforced by the DBMS whenever tuples are added or modified.
- Another example, the Enrolled table holds information about courses that students take.

```
CREATE TABLE Students
    (sid: CHAR(20),
     name: CHAR(20),
     login: CHAR(10),
     age: INTEGER,
     gpa: REAL)

CREATE TABLE Enrolled
    (sid: CHAR(20),
     cid: CHAR(20),
     grade: CHAR(2))
```

Destroying and Altering Relations

DROP TABLE Students

- Destroys the relation Students. The schema information *and* the tuples are deleted.

ALTER TABLE Students
ADD COLUMN firstYear: integer

- The schema of Students is altered by adding a new field; every tuple in the current instance is extended with a *null* value in the new field.

Adding and Deleting Tuples

- Can insert a single tuple using:

```
INSERT INTO Students (sid, name, login, age, gpa)
VALUES (53675, 'Smith', 'smith@phys', 18, 3.5)
```

- Can delete all tuples satisfying some condition (e.g., name = Smith):

```
DELETE
FROM Students S
WHERE S.name = 'Smith'
```

☛ *Powerful variants of these commands are available; more later!*

Integrity Constraints (ICs)

- IC: condition that must be true for *any* instance of the database; e.g., *domain constraints.*
  - ICs are specified when schema is defined.
  - ICs are checked when relations are modified.
- A *legal* instance of a relation is one that satisfies all specified ICs.
  - DBMS should not allow illegal instances.
- If the DBMS checks ICs, stored data is more faithful to real-world meaning.
  - Avoids data entry errors, too!

Primary Key Constraints

- A set of fields is a *key* for a relation if :
  1. No two tuples can have same values in all key fields
  2. This is not true for any subset of the key
- If the key is overspecified (Rule 2 violated), it is called a *superkey*.
- If there's more than one key for a relation, one is chosen (by DBA) as the *primary key*.
- E.g., *sid* is a key for Students. (What about *name*?) The set {*sid, gpa*} is a superkey.

Primary and Candidate Keys in SQL

- Possibly many *candidate keys* (specified using UNIQUE), one of which is chosen as the *primary key*.

- "For a given student and course, there is a single grade." vs. "Students can take only one course, and receive a single grade for that course; further, no two students in a course receive the same grade."
- Used carelessly, an IC can prevent the storage of database instances that arise in practice!

```
CREATE TABLE Enrolled
  (sid CHAR(20)
   cid  CHAR(20),
   grade CHAR(2),
   PRIMARY KEY  (sid,cid) )
```

```
CREATE TABLE Enrolled
  (sid CHAR(20)
   cid  CHAR(20),
   grade CHAR(2),
   PRIMARY KEY  (sid),
   UNIQUE (cid, grade) )
```

Foreign Keys, Referential Integrity

- *Foreign key* : Set of fields in one relation that is used to "reference" a tuple in another relation. (Must correspond to primary key of the second relation.) Like a "logical pointer".
- E.g. *sid* is a foreign key referring to Students:
  - Enrolled(*sid*: string, *cid*: string, *grade*: string)
  - If all foreign key constraints are enforced, *referential integrity* is achieved, i.e., no dangling references.
  - Can you name a data model w/o referential integrity?

Links in HTML!

Foreign Keys in SQL

- Only students listed in the Students relation should be allowed to enroll for courses.

```
CREATE TABLE Enrolled
  (sid CHAR(20),  cid CHAR(20),  grade CHAR(2),
   PRIMARY KEY  (sid,cid),
   FOREIGN KEY (sid) REFERENCES Students )
```

Enrolled

sid	cid	grade
53688	Carnatic101	C
53688	Reggae203	B
53650	Topology112	A
53666	History105	B

Students

sid	name	login	age	gpa
53666	Jones	jones@cs	18	3.4
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Enforcing Referential Integrity

- Consider Students and Enrolled; *sid* in Enrolled is a foreign key that references Students.
- What should be done if an Enrolled tuple with a non-existent student id is inserted? (*Reject it!*)
- What should be done if a Students tuple is deleted?
  - Also delete all Enrolled tuples that refer to it.
  - Disallow deletion of a Students tuple that is referred to.
  - Set sid in Enrolled tuples that refer to it to a *default sid*.
  - (In SQL, also: Set sid in Enrolled tuples that refer to it to a special value *null*, denoting `*unknown*' or `*inapplicable*'.)
- Similar if primary key of Students tuple is updated.

Referential Integrity in SQL

- SQL/92 and SQL:1999 support all 4 options on deletes and updates.
  - Default is NO ACTION (*delete/update is rejected*)
  - CASCADE (also delete all tuples that refer to deleted tuple)
  - SET NULL / SET DEFAULT (sets foreign key value of referencing tuple)

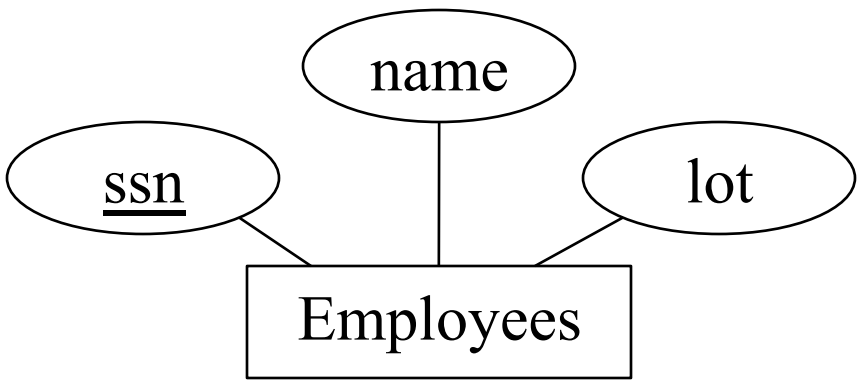
```
CREATE TABLE Enrolled
  (sid CHAR(20),
   cid CHAR(20),
   grade CHAR(2),
   PRIMARY KEY (sid,cid),
   FOREIGN KEY (sid)
     REFERENCES Students
         ON DELETE CASCADE
         ON UPDATE SET DEFAULT )
```

Where do ICs Come From?

- ICs are based upon the semantics of the real-world enterprise that is being described in the database relations.
- We can check a database instance to see if an IC is violated, but we can NEVER infer that an IC is true by looking at an instance.
  - An IC is a statement about *all possible* instances!
  - From example, we know *name* is not a key, but the assertion that *sid* is a key is given to us.
- Key and foreign key ICs are the most common; more general ICs supported too.

Logical DB Design: ER to Relational

- Entity sets to tables:




```
CREATE TABLE Employees
    (ssn CHAR(11),
    name CHAR(20),
    lot  INTEGER,
    PRIMARY KEY  (ssn))
```

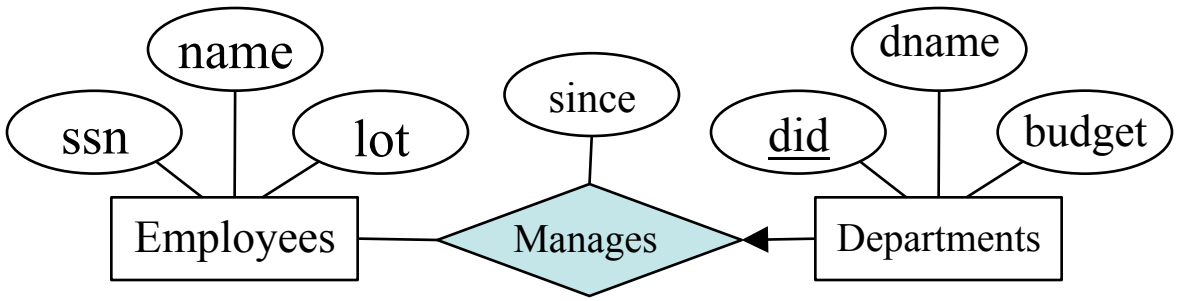
Relationship Sets to Tables

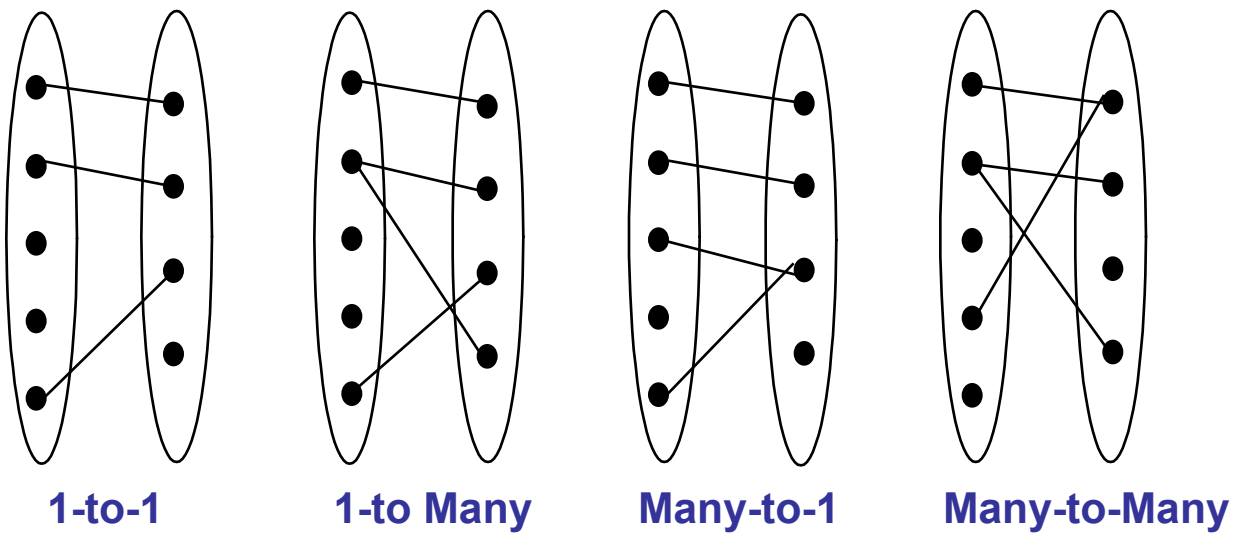
- In translating a relationship set to a relation, attributes of the relation must include:
  - Keys for each participating entity set (declared as foreign keys). This set of keys is at least a *superkey* for the relation.
  - All descriptive attributes.

```
CREATE TABLE Works_In(
  ssn  CHAR(11),
  did  INTEGER,
  since  DATE,
  PRIMARY KEY (ssn, did),
  FOREIGN KEY (ssn)
      REFERENCES Employees,
  FOREIGN KEY (did)
      REFERENCES Departments)
```

Review: Key Constraints

- Each dept has at most one manager, according to the *key constraint* on Manages.





Translation to relational model?

Translating ER Diagrams with Key Constraints

- Map relationship to a table:
  - Note that did is the key now!
  - Separate tables for Employees and Departments.
- Since each department has a unique manager, we could instead combine Manages and Departments.

Solution 1:

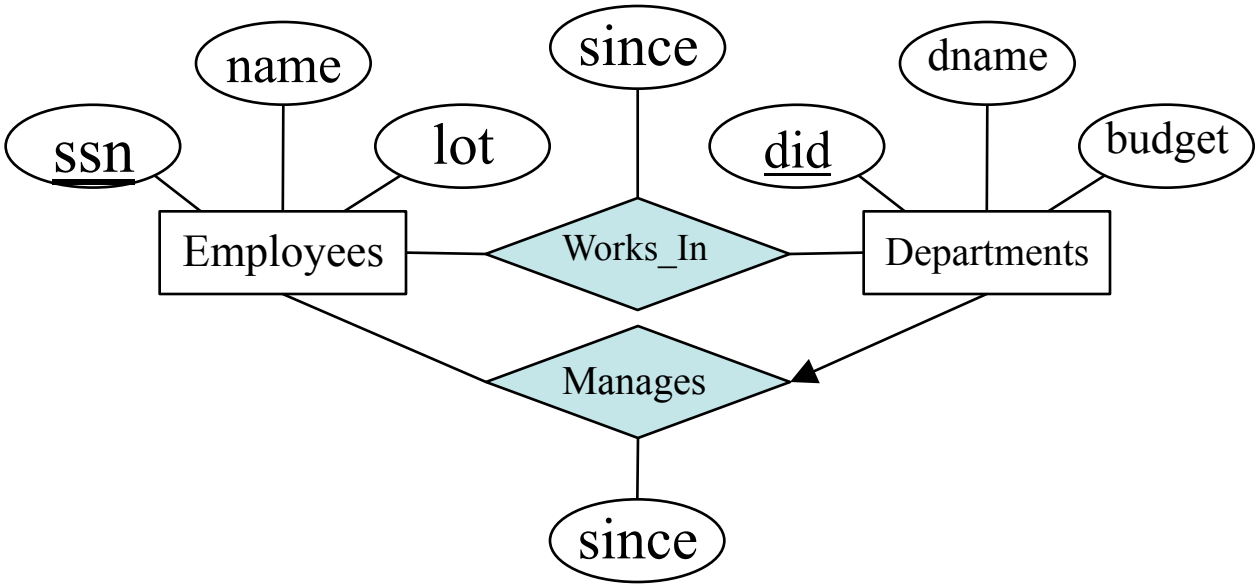
```
CREATE TABLE Manages(
  ssn CHAR(11),
  did INTEGER,
  since DATE,
  PRIMARY KEY (did),
  FOREIGN KEY (ssn) REFERENCES Employees,
  FOREIGN KEY (did) REFERENCES Departments)
```

Solution 2:

```
CREATE TABLE Dept_Mgr(
  did INTEGER,
  dname CHAR(20),
  budget REAL,
  ssn CHAR(11),
  since DATE,
  PRIMARY KEY (did),
  FOREIGN KEY (ssn) REFERENCES Employees)
```

Review: Participation Constraints

- Does every department have a manager?
  - If so, this is a *participation constraint*: the participation of Departments in Manages is said to be *total* (vs. *partial*).
  - Every *did* value in Departments table must appear in a row of the Manages table (with a non-null *ssn* value)



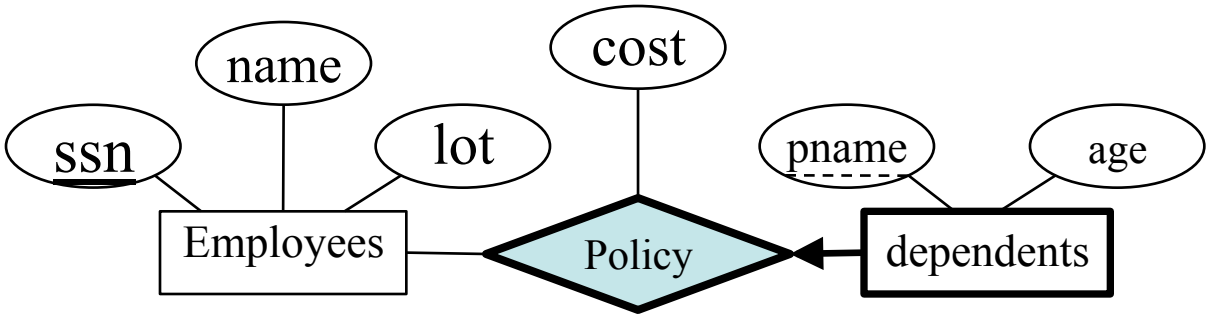
Participation Constraints in SQL

- We can capture participation constraints involving one entity set in a binary relationship, but little else (without resorting to CHECK constraints).

```
CREATE TABLE Dept_Mgr(
  did INTEGER,
  dname CHAR(20),
  budget REAL,
  ssn CHAR(11) NOT NULL,
  since DATE,
  PRIMARY KEY (did),
  FOREIGN KEY (ssn) REFERENCES Employees,
    ON DELETE NO ACTION)
```

Review: Weak Entities

- A *weak entity* can be identified uniquely only by considering the primary key of another (*owner*) entity.
  - Owner entity set and weak entity set must participate in a one-to-many relationship set (1 owner, many weak entities).
  - Weak entity set must have total participation in this *identifying* relationship set.



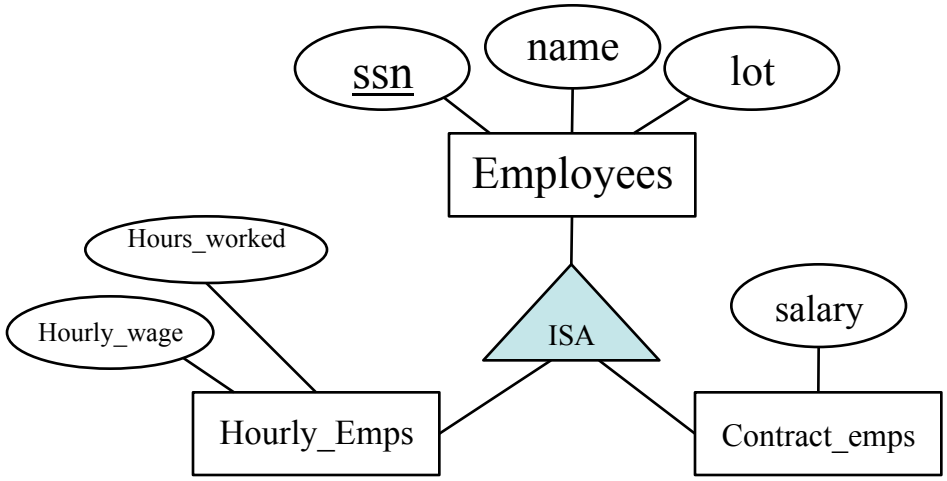
Translating Weak Entity Sets

- Weak entity set and identifying relationship set are translated into a single table.
- When the owner entity is deleted, all owned weak entities are also be deleted.

```
CREATE TABLE Dep_Policy (
  pname CHAR(20),
  age INTEGER,
  cost REAL,
  ssn CHAR(11) NOT NULL,
  PRIMARY KEY (pname, ssn),
  FOREIGN KEY (ssn) REFERENCES Employees,
    ON DELETE CASCADE)
```

Review: ISA Hierarchies

- It is often useful to subdivide entities into classes, like in an OOL
- If we declare A **ISA** B, every A entity is also considered to be a B entity.



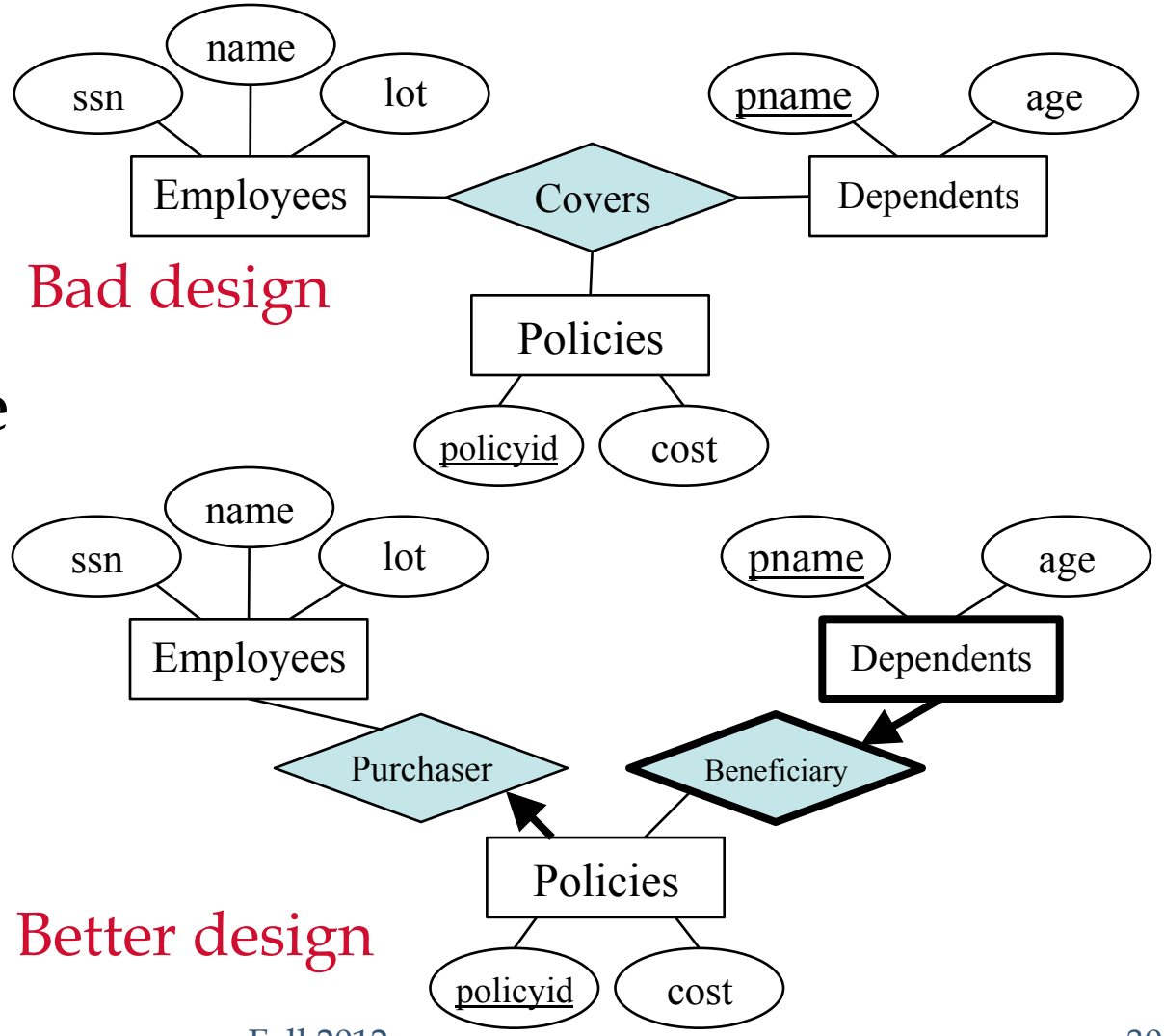
- *Overlap constraints*: Can Joe be an Hourly_Emps as well as a Contract_Emps entity? (*Allowed/disallowed*)
- *Covering constraints*: Does every Employees entity also have to be an Hourly_Emps or a Contract_Emps entity? *(Yes/no)*

Translating ISA Hierarchies to Relations

- ***General approach:***
  - 3 relations: Employees, Hourly_Emps and Contract_Emps.
    - *Hourly_Emps*: Every employee is recorded in Employees. For hourly emps, extra info recorded in Hourly_Emps (*hourly_wages*, *hours_worked*, *ssn*); must delete Hourly_Emps tuple if referenced Employees tuple is deleted).
    - Queries involving all employees easy, those involving just Hourly_Emps require a join to get some attributes.
- Alternative: Just Hourly_Emps and Contract_Emps.
  - *Hourly_Emps*: *ssn*, *name*, *lot*, *hourly_wages*, *hours_worked*.
  - Each employee must be in one of these two subclasses.

Review: Binary vs. Ternary Relationships

- Recall what were the additional constraints implied by the the better design?



Binary vs. Ternary Relationships (Contd.)

- Key constraints allow us to combine Purchaser with Policies, and Beneficiary with Dependents.
- Participation constraints lead to NOT NULL constraints.
- What if Policies is a weak entity set? (generic policy numbers)

```
CREATE TABLE  Policies (
  policyid  INTEGER,
  cost  REAL,
  ssn  CHAR(11)  NOT NULL,
  PRIMARY KEY (policyid).
  FOREIGN KEY (ssn) REFERENCES Employees,
    ON DELETE CASCADE)

CREATE TABLE Dependents (
  pname  CHAR(20),
  age  INTEGER,
  policyid  INTEGER,
  PRIMARY KEY (pname, policyid).
  FOREIGN KEY (policyid) REFERENCES Policies,
    ON DELETE CASCADE)
```

Views

- A *view* is just a relation, but we store a *definition*, rather than a set of tuples.

```
CREATE VIEW YoungActiveStudents (name, grade)
    AS SELECT S.login, E.grade
    FROM Students S, Enrolled E
    WHERE S.sid = E.sid and S.age<21
```

- Views can be dropped using the DROP VIEW command.
  - How to handle DROP TABLE if there's a view on the table?
  - DROP TABLE command has options to let the user specify this.

Views to support ISA relations

- The common elements of an ISA hierarchy can be supported using views.
- For example, consider this implementation of Alternate 2 from slide 29

```
CREATE VIEW Employee(ssn, name, lot)
        AS SELECT H.ssn, H.name, H.lot
        FROM Hourly_Emps
        UNION
        SELECT C.ssn, C.name, C.lot
        FROM Contract_Emps
```

Views and Security

- Views can be used to present necessary information (or a summary), while hiding details in underlying relation(s).
- Given YoungStudents, but not Students or Enrolled, we can find students who have are enrolled, but not their *sid's*, *cid's*, or even their ages.

login	grade
smith@cs	C
smith@cs	B
smith@math	A
jones@cs	B

Relational Model: Summary

- A tabular representation of data.
- Simple and intuitive, currently the most widely used.
- Integrity constraints can be specified by the DBA, based on application semantics. DBMS checks for violations.
  - Two important ICs: primary and foreign keys
  - In addition, we *always* have domain constraints.
- Powerful and natural query languages exist.
- Rules to translate ER to relational model